



Department of Defense INSTRUCTION

AD-A270 402



November 6, 1990
NUMBER 3020.37

ASD(FM&P)

SUBJECT: Continuation of Essential DoD Contractor Services
During Crises

- References:
- (a) DoD Directive 1100.18, "Wartime Manpower Mobilization Planning," January 31, 1986
 - (b) Executive Order 12656, "Assignment of Emergency Preparedness Responsibilities," November 18, 1988
 - (c) DoD Instruction 1000.1, "Identity Cards Required by the Geneva Conventions," January 30, 1974
 - (d) DoD Directive 1404.10, "Retention of Emergency-Essential (E-E) DoD U.S. Civilian Employees Overseas," April 6, 1990
 - (e) through (j), see enclosure 1

A. PURPOSE

This Instruction implements DoD policy, assigns responsibilities, and prescribes procedures, in accordance with references (a) and (b), to provide reasonable assurance of the continuation of essential services provided by DoD contractors, including services provided to Foreign Military Sales (FMS) customers, during crisis situations.

B. APPLICABILITY

This Instruction applies to the Office of the Secretary of Defense (OSD); the Military Departments, including the Coast Guard when operating as a Service in the Navy; the Chairman, Joint Chiefs of Staff and the Joint Staff; the Combatant Commands; the Inspector General of the Department of Defense (IG, DoD); and the Defense Agencies (hereafter referred to collectively as "DoD Components").

C. DEFINITIONS

Terms used in this Instruction are defined in enclosure 2.

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D. POLICY

It is DoD policy that:

1. The DoD Components shall rely on the most effective mix of the Total Force, cost and other factors considered, including Active, Reserve, civilian, host-nation, and contract resources necessary to fulfill assigned peacetime and wartime missions.
2. Contractors providing services designated as essential by a DoD Component are expected to use all means at their disposal to continue to provide such services, in accordance with the terms and conditions of the contract during periods of crisis, until appropriately released or evacuated by military authority.
3. DoD Components working with contractors performing essential services shall develop and implement plans and procedures which are intended to provide reasonable assurance of the continuation of essential services during crisis situations using contractor employees or other resources as necessary.
4. For situations where the cognizant DoD Component Commander has a reasonable doubt about the continuation of essential services during crisis situations by the incumbent contractor, the Commander shall prepare a contingency plan for obtaining the essential service from alternate sources (military, DoD civilian, host-nation, other contractor(s)).

E. RESPONSIBILITIES

1. The Assistant Secretary of Defense (Force Management and Personnel) (ASD(FM&P)) shall periodically monitor implementation of this Instruction.
2. The Heads of DoD Components shall:
 - a. Ensure that the policy and procedures in this Instruction are implemented by relevant subordinate organizations.
 - b. Ensure that all contractor services are reviewed annually, to include new and existing contracts, to determine which services will be essential during crisis situations.
 - c. Conduct an annual assessment of the unanticipated and/or premature loss of essential contractor services on the effectiveness of support to mobilizing and deployed forces. Include the results of these assessments into relevant portions

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of the affected contingency and/or operations plans, consistent with JCS planning guidance.

d. Provide assistance to FMS customers in prioritizing security assistance requirements, planning for the retention of essential contractor personnel in a crisis, and recommending required actions relative to continuation or termination of security assistance programs.

e. Advise the ASD(FM&P) of any significant problems in implementing this Instruction.

3. The Chairman, Joint Chiefs of Staff (CJCS) shall:

a. Establish procedures for the preparation and review of contingency plans by the Combatant Commanders which conform to policy guidance from the President and the Secretary of Defense. Planning guidance shall include the review and assessment of alternatives to continue contractor services identified as critical to the execution of the plan.

b. Review for adequacy and feasibility, operations and/or contingency plans developed by the Combatant Commanders as part of the established deliberate planning process of the Joint Strategic Planning System.

c. Monitor the execution of contingency plans following activation by the applicable trigger mechanism (identified in paragraph 5. of enclosure 2).

F. PROCEDURES

The following essential services planning procedures apply to DoD Component activities using contractor services in peacetime:

1. Identify services designated as mission essential in the contract statement of work (SOW).

2. Maintain, in a current status, the following information:

a. The generic description of the essential contractor service.

b. The name, address, and telephone number of the contractor performing the essential service.

c. The name, address, and telephone number of the in-country contact for the DoD activity using the essential service, if overseas.

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REFERENCES, continued

- (e) DoD Directive 1200.7, "Screening the Ready Reserve," April 6, 1984
- (f) DoD Directive 1352.1, "Management and Mobilization of Regular and Reserve Retired Military Members," March 1990
- (g) Title 33, United States Code, Section 901, (Public Law 69-803, "Longshoremen's and Harbor Workers Compensation Act," March 4, 1927)
- (h) Title 42, United States Code, Sections 1651 and 1701, et seq. (Public Law 77-208, "Defense Base Act," August 16, 1941, and Public Law 77-784, "War Hazards Compensation Act," December 2, 1942)
- (i) JCS Pub. 1-02, "Department of Defense Dictionary of Military and Associated Terms," December 1989
- (j) Public Law 94-329, "International Security Assistance and Arms Export Control Act of 1976," June 30, 1976

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DTIC QUALITY ASSURANCE

DEFINITIONS

1. Crisis Situation. Any emergency so declared by the National Command Authority (NCA) or the overseas Combatant Commander, whether or not U.S. Armed Forces are involved, minimally encompassing civil unrest or insurrection, civil war, civil disorder, terrorism, hostilities buildup, wartime conditions, disasters, or international conflict presenting a serious threat to DoD interests.
2. Defense Readiness Condition (DEFCON). A number or code word indicating the readiness posture of a unit for actual operations or exercises, as defined in JCS Pub 1-02 (reference (i)). Also, called "state of readiness."
3. Essential Contractor Service. A service provided by a firm or an individual under contract to the Department of Defense to support vital systems including ships owned, leased, or operated in support of military missions or roles at sea and associated support activities including installation, garrison, and base support services considered of utmost importance to the U.S. mobilization and wartime mission. That includes services provided to FMS customers under the Security Assistance Program. Those services are essential because of the following:
 - a. DoD Components may not have military or DoD civilian employees to perform these services immediately.
 - b. The effectiveness of defense systems or operations may be seriously impaired, and interruption is unacceptable when those services are not available immediately.
4. Foreign Military Sales (FMS). That portion of U.S. security assistance authorized by Public Law 94-329 as amended (reference (j)).
5. Trigger Mechanism. Subject to CJCS oversight, and with recommendations by Defense Agencies, the cognizant Combatant Commander assesses the crisis situation in the specific area of operational responsibility in which contractor employees are located, and the Combatant Commander or senior U.S. authority makes a decision to activate applicable plans, or when DEFCON 3 is declared for that area.

6. Vital Defense Systems and Associated Support Activities

- a. Command, control, communications, and intelligence (C3I) systems, including tactical and strategic information, intelligence collection, and computer subsystems.
- b. Selected operational weapons systems, including those being brought into the DoD inventory, international customer inventory, or fielded systems undergoing major modifications.
- c. Operational logistics support of the systems described in paragraphs 6.a. and b., above, medical services, noncombatant evacuation activities, and other wartime services if determined vital to mission continuance by the Component Commander.

SUPPLEMENTARY

INFORMATION

DEPARTMENT OF DEFENSE
DIRECTIVES SYSTEM TRANSMITTAL

NUMBER	DATE	DISTRIBUTION
3020.37, Change 1	January 26, 1996	1000 Series

ATTACHMENTS

2 Pages

ERRATA

INSTRUCTIONS FOR RECIPIENTS

The following pen and page changes to DoD Instruction 3020.37, "Continuation of Essential DoD Contractor Services During Crises," November 6, 1990, are authorized:

PEN CHANGES

Page 3, after paragraph E.2.c.,

Add a new paragraph E.2.d, "Establish procedures to ensure that these standard support requirements and any additional ones established by the Combatant Commander are met. They shall specifically authorize appropriate uniforms, if any, and theater-specific equipment determined by the Combatant Commander to be necessary, in accordance with joint Staff procedures, to be issued for use by civilian contractors."

Renumber existing paragraph "E.2.d and E.2.e." to "E.2.e and E.2.f."


Add a new paragraph E.3.d. "Establish procedures for Combatant Commanders to declare theater and/or scenario-specific civilian contractor support requirements as including equipment that is in addition to the standard support requirement described in enclosure 3."

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After subsection F.4. Add a new subsection F.5. "Determine prior to contract award, or prior to modification to extend the performance period, whether an interruption of service would result in an unacceptable risk. If an unacceptable risk would result, develop a contingency plan to ensure continued service."

Renumber existing subsection "5 through 9" to "6 through 10"

WHEN PRESCRIBED ACTION HAS BEEN TAKEN, THIS TRANSMITTAL SHOULD BE FILED WITH THE BASIC DOCUMENT

NUMBER 3020.37, Change 1	DATE January 26, 1996	DEPARTMENT OF DEFENSE DIRECTIVES SYSTEM TRANSMITTAL
INSTRUCTIONS FOR RECIPIENTS (continued)		
<p data-bbox="232 272 323 304"><u>Page 5.</u></p> <p data-bbox="265 342 1146 412">Enclosures -. Change "2" to "3" Add a new enclosure "3. Guidelines for Theater Admission Procedures"</p> <p data-bbox="199 491 447 523"><u>EFFECTIVE DATE</u></p> <p data-bbox="199 566 753 597">The above changes are effective immediately.</p> <div data-bbox="921 651 1306 853"> B. C. WHITEHEAD Director Correspondence and Directives</div>		

GUIDELINES FOR THEATER ADMISSION PROCEDURES

In issuing and implementing theater admission requirements to a possible theater of operations for civilian contractors, the Chairman of the Joint Chiefs of Staff, the Combatant and Supporting Commanders, and the other DoD Components should include the following:

- a. Training for civilians on their responsibilities; e.g., standards of conduct, as well as coping skills if they become Prisoners of Wars;
- b. Issuing to civilian contractors, and training personnel in the use of, the same defensive personal protective gear as is issued to military personnel in theater, to include lens inserts, if required;
- c. Immunizing civilians with the same immunizations given to military in theater. (Refer to United States Air Force Foreign Area Clearance Guide for specific immunizations required for a particular country.);
- d. Providing to civilian contractors appropriate cultural awareness training for the theater if it is being provided to military personnel;
- e. Ensuring civilian contractors obtain or are issued DD Form 489 (Geneva Convention Identification Cards), passports, visas, and country clearances in accordance with the United States Air Force Foreign Area Clearance Guide. When theater conditions necessitate further clearances, the Combatant Commander will notify the Heads of the DoD Components expeditiously;
- f. Ensuring that civilian contractors are issued any required security clearances expeditiously. Security clearances are to be issued on a "need-to-know" basis.
- g. Ensuring that civilian contractors fill out DD Form 93, "Record of Emergency Data." Components shall set up procedures to store and access civilian DD 93s which are the same as or parallel to those for the military;
- h. Ensuring that components set up procedures for civilian contractor casualty notification and assistance that parallel those for military personnel as far as is legally permissible;
- i. Ensuring civilian contractors in a theater of operations are entitled to the same medical care as military personnel. They will be human immunodeficiency virus tested before deployment if the country of deployment requires it. They also shall ensure that all DoD-sponsored non-military personnel permanent change of station or temporary duty outside the United States of America and its Territories shall have panarexs or deoxyribo nucleic acid samples taken for identification purposes. Dental x-rays may be substituted when the ability to take panarex or deoxyribo nucleic acid samples is not available. Civilian contractors may also be

issued "dog tags" for identification purposes. Components shall establish procedures to store and access such identification data that are the same as or parallel to those for the military;

j. Ensuring that deploying civilian contractor personnel receive medical and dental examinations and, if warranted, psychological evaluations, to ensure fitness for duty in the theater of operations to support the military mission. During a contingency or emergency, civilian contractors returning to the United States and its Territories from a theater of operations may receive cost-free military physical examinations;

k. Ensuring that deploying civilian contractor personnel shall carry with them a minimum of a 90-day supply of any medication they require;

l. Ensuring that deploying civilian contractor personnel are encouraged to make family care plans for any dependents;

m. Ensuring that civilian contractor personnel killed in a theater of operations are processed by Graves Registration with procedures parallel to those utilized for the military; and

n. Ensuring that civilian contractor personnel deploying to or in a theater of operations are furnished the opportunity and assisted with making wills as well as with any necessary powers of attorney; as permissible under Military Department regulations.